



ARIZONA DEPARTMENT OF FINANCIAL INSTITUTIONS

Robert D. Charlton
Superintendent

Douglas A. Ducey
Governor

TRIBAL CONSULTATION POLICY ANNUAL REPORT for July 1, 2017 to June 30, 2018

Arizona Department of Financial Institutions Mission Statement:
“To license, examine, and supervise Financial Institutions, in compliance with State law, to ensure safety for the Arizona consumer and soundness for the Arizona business.”

AGENCY OVERVIEW

The Arizona Department of Financial Institutions (“AZDFI”) licenses, supervises, and regulates state-chartered financial institutions and enterprises to ensure the safety and soundness of these financial entities, and verify compliance with state and federal laws. AZDFI also serves Arizona citizens by investigating complaints that are filed by consumers against licensed individuals and entities and applies appropriate remedial action when the violations are substantiated.

TRIBAL GOVERNMENT CONSULTATION POLICY

AZDFI recognizes the sovereignty of tribal governments and their jurisdiction over lands within Indian Country as defined by federal law. [The definition in federal law includes all lands within reservation boundaries (18 U.S.C.A. §1151).] Further, AZDFI recognizes that the federal government has the primary responsibility for assisting tribes on issues within Indian Country. AZDFI is committed to developing cooperative relationships with the Tribal Nations of Arizona (“Tribes”).

TRIBAL LAISON

A Tribal Liaison was created within AZDFI in accordance with Executive Order 2006-14 to communicate with the Tribes on issues of mutual concern.

TRIBAL CONSULTATION ACTIVITIES

GOAL	OBJECTIVE	ACTIVITY AND PERFORMANCE MEASURES
AZDFI will only conduct activities within Indian Country when invited by the appropriate Tribal official.	AZDFI recognizes the sovereignty of the Tribal governments and their jurisdiction over lands within Indian Country as defined by federal law.	AZDFI did not conduct any activities within Indian Country.
Provide early notification to Tribes about decisions that may affect them.	AZDFI monitors changes in laws and regulations that may have an effect on a Tribe and would work to communicate any such changes to the appropriate Tribal official.	There were no decisions affecting a Tribe that required notification by AZDFI.
Enter into Intergovernmental Agreements (“IGA”) or Memoranda of Understanding (“MOU”) when mutually beneficial to AZDFI and an interested Tribal government.	Invitation from the Salt River Pima-Maricopa Indian Community to meet and discuss areas of potential benefit for an MOU.	No IGA or MOU has yet to be entered into between AZDFI and a Tribal government.

CHALLENGES IN PROVIDING SERVICES TO TRIBES

None.

LEGISLATION IMPACTING TRIBES OR TRIBAL COMMUNITY MEMBERS

None.

For questions or more information, please contact the Arizona Department of Financial Institutions Tribal Liaison:

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